

# Rethinking Nitrosamine Mitigation:

Leveraging Next-Gen Active Material Science Technologies to Achieve Regulatory Compliance and Avoid Reformulation



# Speakers



**Jean Daou, Ph.D.**  
**R&D Manager EMEA**  
*Aptar CSP Technologies*



**Amanda Murph**  
**Lead Scientist Nitrosamines**  
*Aptar CSP Technologies*



**Viky Verna**  
**Regulatory Affairs**  
*Aptar CSP Technologies*

# 3-Phase Activ-Polymer™ Platform Technology



# 3-Phase Activ-Polymer™ Material = Platform Material

*Material Science: Adding Chemistry to Polymers*

## 1 Majority Polymer

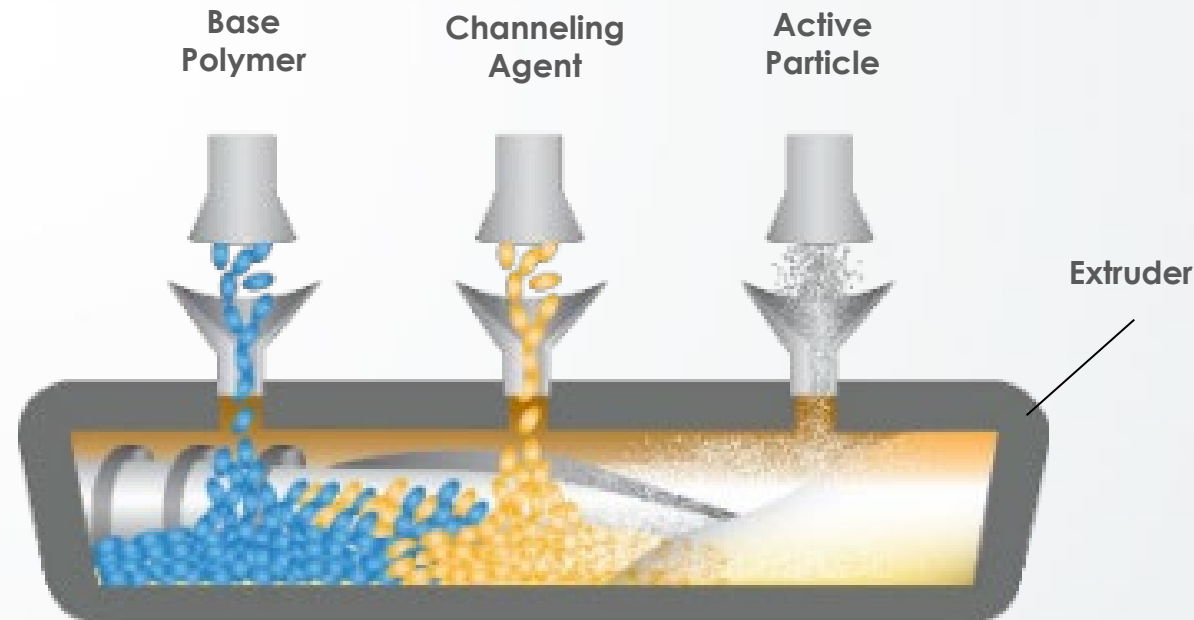
Base Structure  
Component

## 2 Minority Polymer/ Channeling Agent

Immiscible in  
majority polymer

## 3 Particle(s)

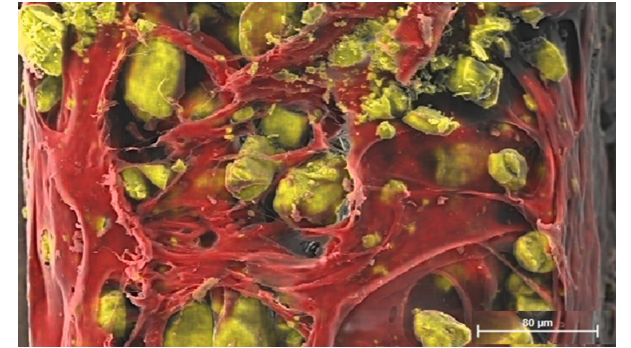
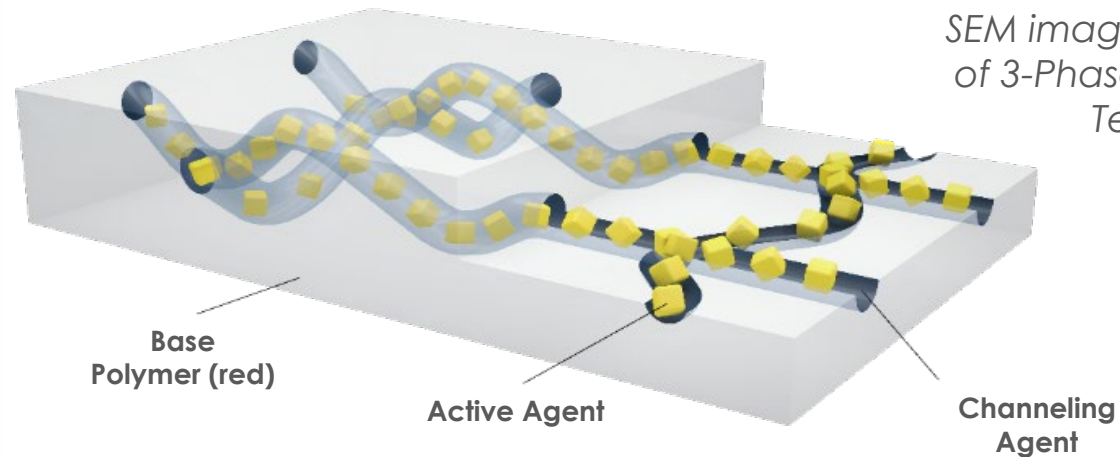
Adsorbing/Absorbing  
Buffering – Active  
Component



# Material Science: Adding Chemistry to Polymers

## HOW IT WORKS:

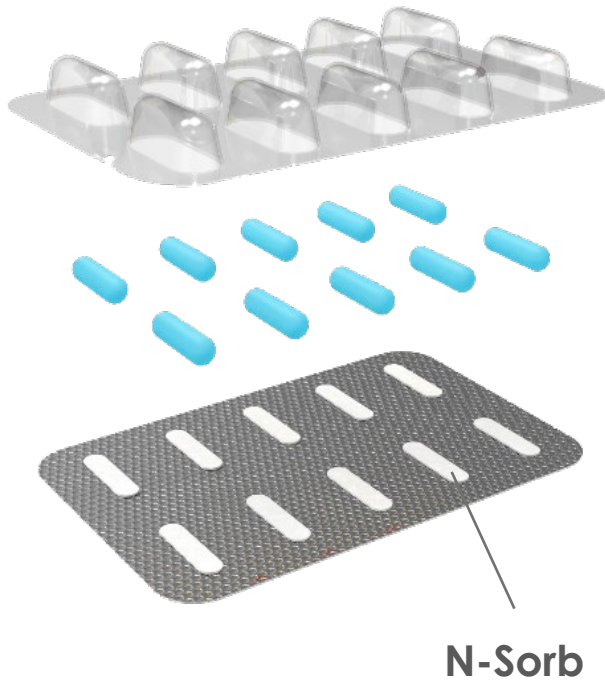
- Channels created within a polymer allow movement of gases
- “Active” particles are added to polymer to:
  - **Adsorb** or **Absorb**
  - **Scavenge**
  - **Release/Emit**
  - **Buffer**
  - **React**
- **Gas diffusion** is controlled through the channel composition



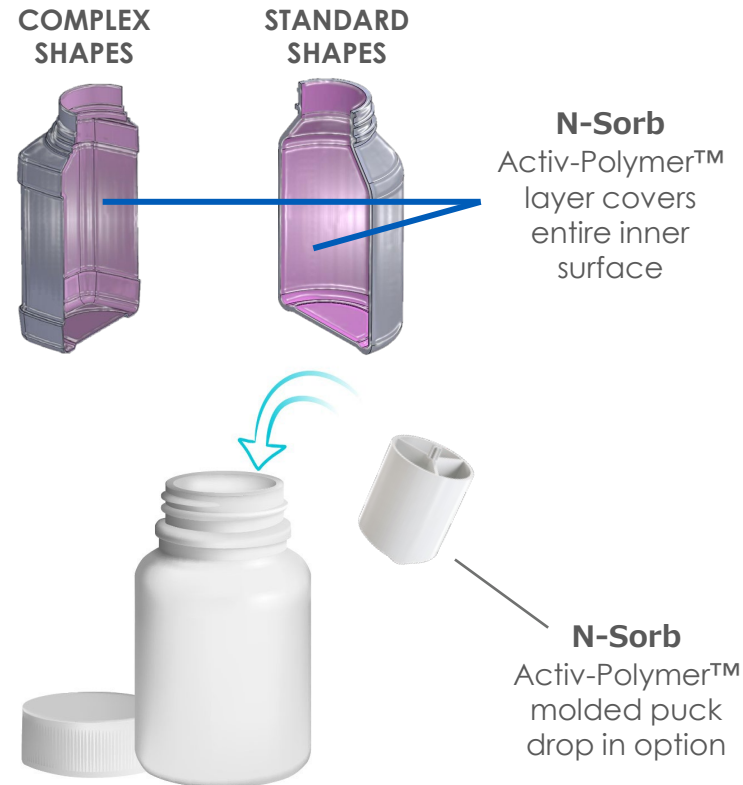
SEM image inside the matrix of 3-Phase Activ-Polymer™ Technology

# Activ-Polymer™ & N-Sorb Technology Deployment Methods

## Activ-Blister™



## Activ-Polymer™ Multi-Layer Bottle



## Fishbone



## Stickpack

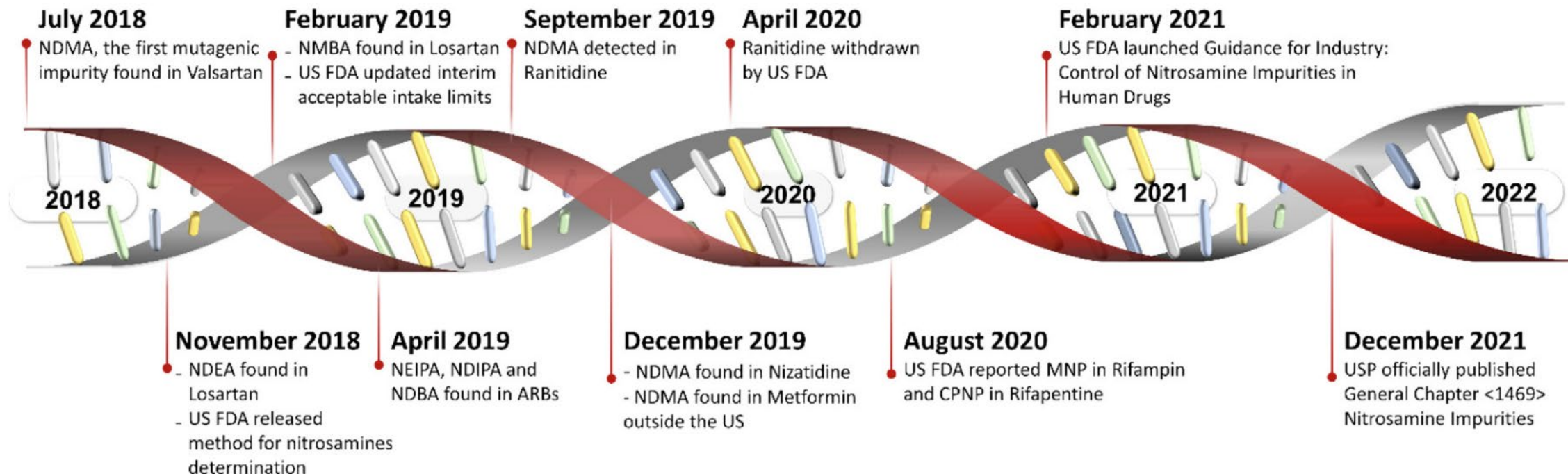


- No disruption to current drug manufacturing processes
- No impact on drug formulation

# Rethinking Nitrosamine Mitigation



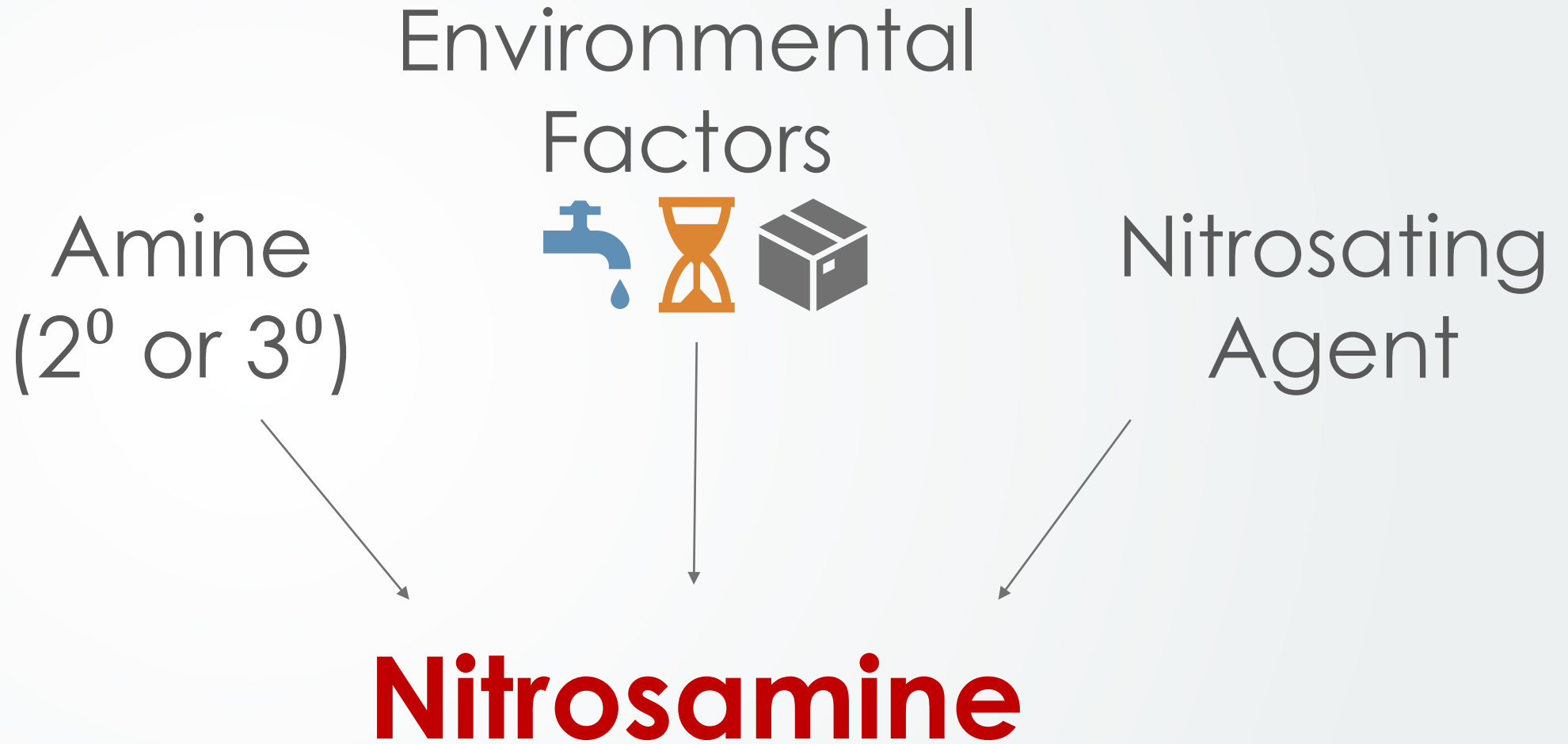
# Introduction



## Evolution timeline of nitrosamine-contaminated pharmaceuticals.

N-nitrosodimethylamine (NDMA), N-nitrosodiethylamine (NDEA), N-nitroso-N-methyl-4-aminobutyric acid (NMBA), N-nitroso ethylisopropylamine (NEIPA), N-nitrosodiisopropylamine (NDIPA), N-nitrosodibutylamine (NDBA).\*

# Nitrosamine Formation



# Nitrosating Agents: What are they?

- 1 Can be used in the process during a reaction or work-up
- 2 Introduced as impurities
- 3 Generated during the process as an impurity

## **Commonly Known Agents:**

Formaldehyde

$\text{H}_2\text{O}_2$

DMA

$\text{NO}_x$

Nitrite

Nitric oxide

Solvents, Input materials, Recovered materials, Reagents/catalysis

# CSP Technologies Packaging Mitigation Targets

**1** Nitrosating Agents in Excipients and Bulk Storage

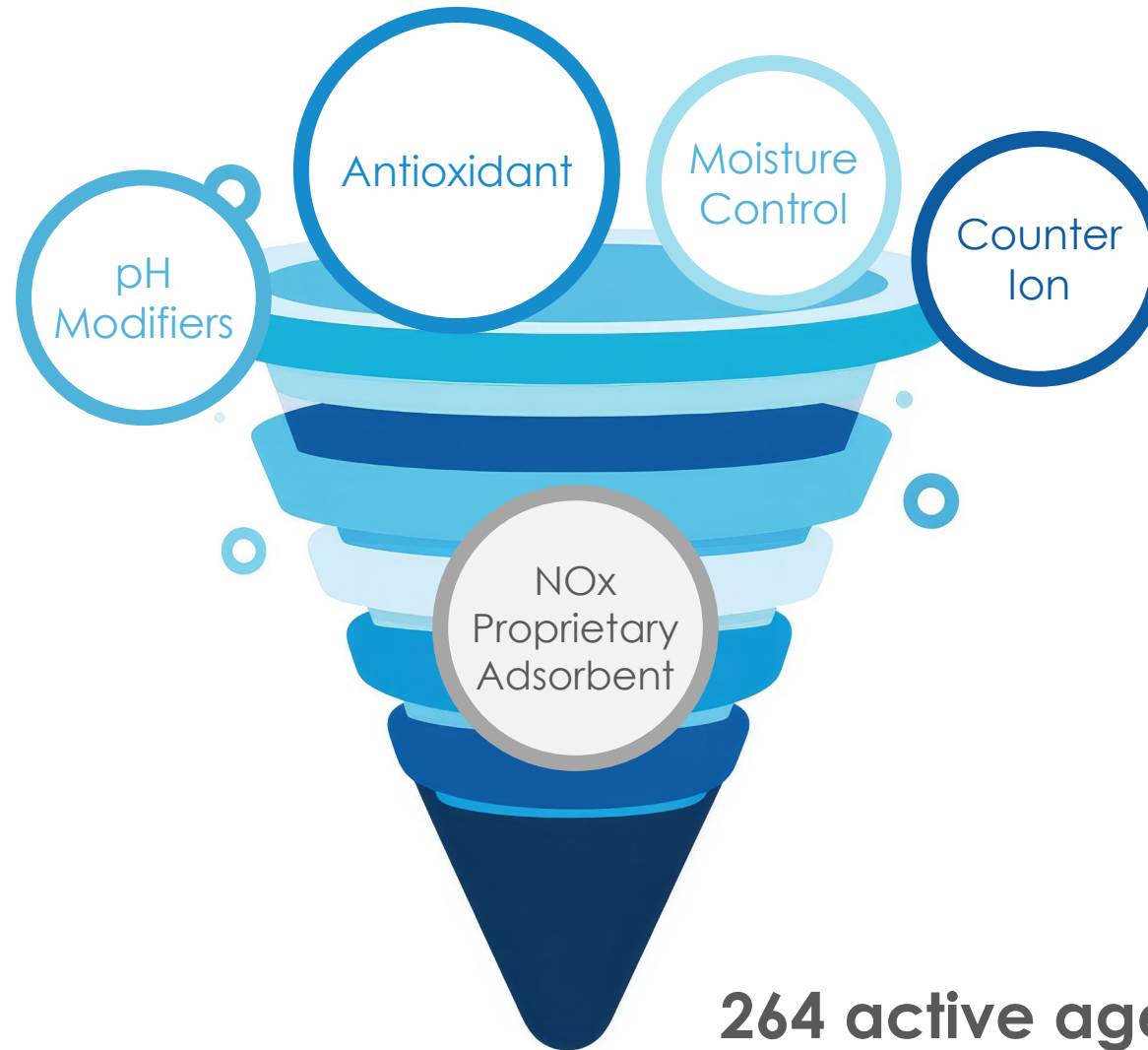


Excipients | USP

**2** Nitrosating Agents and Nitrosamines Post Formulation

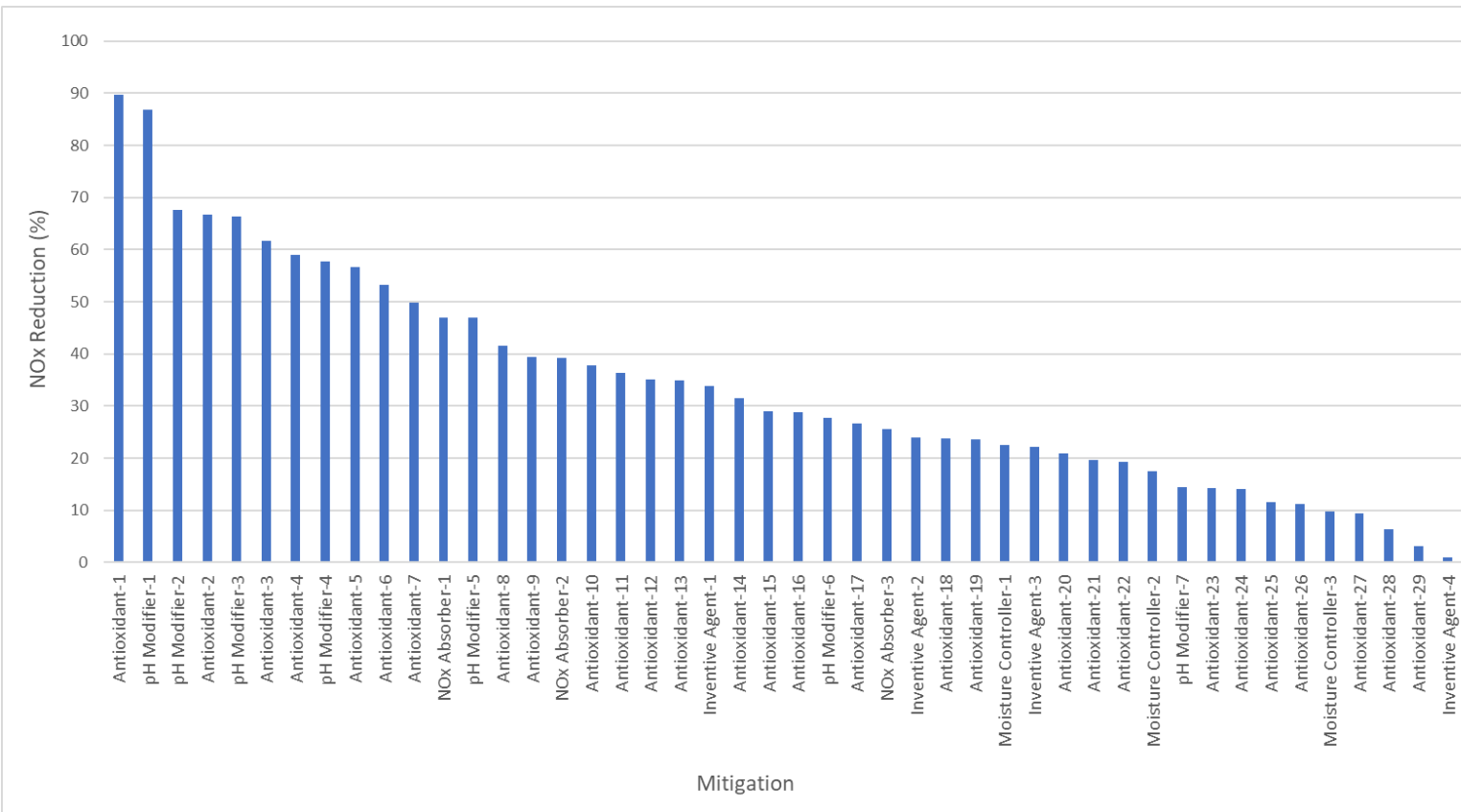


# Mitigant/Active Material Screening



**264 active agents evaluated to test**

# Top 50 Materials Screened



## EXPERIMENTAL METHOD:

- 50 mg of active agent suspended
- 2 mL NOx providing solution
- Aged 24 hrs at 60°C
- Characterization by GC-MS Headspace with derivative nitrite analysis

**50 active agents screened,  
ranging from 0-90% NOx  
reduction.**

# N-sorb Film Mitigation Solutions

| Activ-Polymer™ Nitrosamine Mitigation Solutions | Activ-Film™ Platform        | Proposed Mechanism of Action (MOA) Hypothesis                                                                                                                                                      |
|-------------------------------------------------|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| N-Sorb A                                        | Engineered Oxygen Scavenger | Traps free radicals such as NO so that NO is not available to react with molecular oxygen and nitrogen to form NO <sub>2</sub> or N <sub>2</sub> O <sub>3</sub> (nitrosating agents). <sup>a</sup> |
| N-Sorb B                                        | Antioxidant                 | Reduces the nitrosating agent to non-nitrosating nitric oxide or via nitration reactions. <sup>b</sup>                                                                                             |
|                                                 |                             | Yields dinitrosyl ascorbate. <sup>c</sup>                                                                                                                                                          |
| N-Sorb C                                        | Alkaline Compound           | Increases pH to create conditions less favorable to Nitrosamine formation <sup>d</sup>                                                                                                             |
|                                                 |                             | Reduces reactivity of nitrite ions                                                                                                                                                                 |
| N-Sorb D, K                                     | Porous materials            | Removal of nitrosamine precursors (nitrosating agents or amines) and nitrosamines post formation                                                                                                   |
| N-Sorb E                                        | Ionic Compound              | Impacts chemical equilibrium of nitrosation reactions by altering solubility <sup>e</sup>                                                                                                          |
| N-Sorb F                                        | Alkaline Buffer             | Acts as a buffer to impact the pH                                                                                                                                                                  |
|                                                 |                             | Increases pH to create conditions less favorable to Nitrosamine formation <sup>d</sup>                                                                                                             |
| N-Sorb G, J                                     | Antioxidant                 | Scavenges reactive oxygen and nitrogen species including NO radicals <sup>a</sup>                                                                                                                  |
|                                                 |                             | Neutralizes radicals to prevent chain reactions to form nitrosamines                                                                                                                               |

<sup>a</sup> Rapid formation of N-nitrosamines from nitrogen oxides under neutral and alkaline conditions (B C Challis, A Edwards, R R Hunma, S A Kyrtopoulos, J R Outram)

<sup>b</sup> Free Radical Properties, Source and Targets, Antioxidant Consumption and Health (Martemucci, Giovanni, Ciro Costagliola, Michele Mariano, Luca D'andrea, Pasquale Napolitano, and Angela Gabriella D'Alessandro)

<sup>c</sup> Inhibition of N-Nitrosamine Formation in Drug Products: A Model Study (Kausik K. Nandaa, Steven Tignor, James Clancyc, Melanie J. Marotac, Leonardo R. Allainb, Suzanne M. D'Addioa)

<sup>d</sup> PRESERVATIVES | Permitted Preservatives – Nitrites and Nitrates (J.H. Subramanian, L.D. Kagliwal, R.S. Singhal)

## Our Technology: Where Are We Effective?

- ✓ Reduce Environmental Factors
- ✓ Reduce Nitrosating Agents
- ✓ Reduce Volatile Nitrosamines
- ✓ Prevent Further Nitrosamine Formation

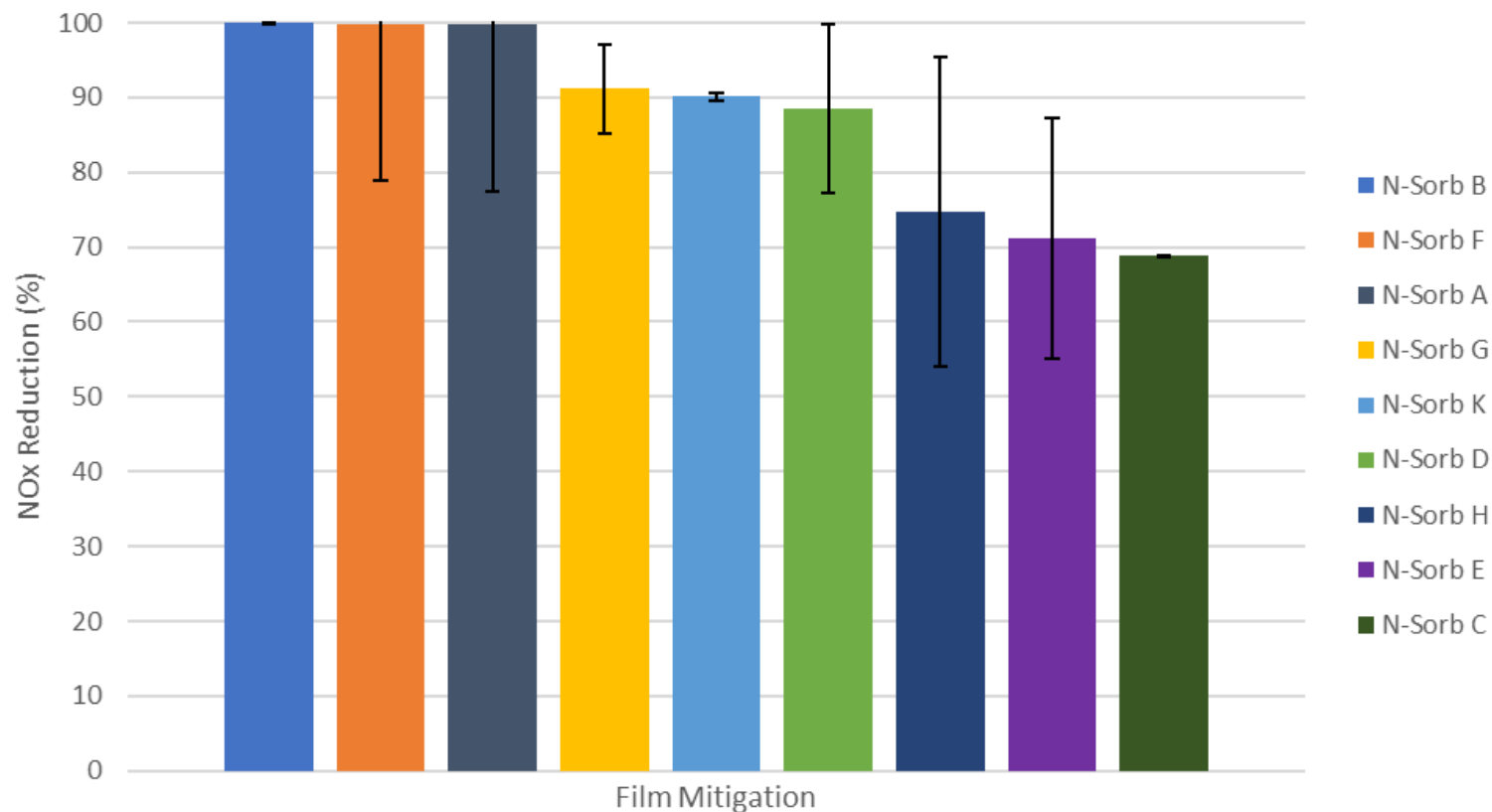


**We target nitrosamine precursors, primarily nitrosating agents.**

# NO<sub>x</sub> Data



# Nitrite Mitigation – N-Sorb Film NO<sub>x</sub> Testing

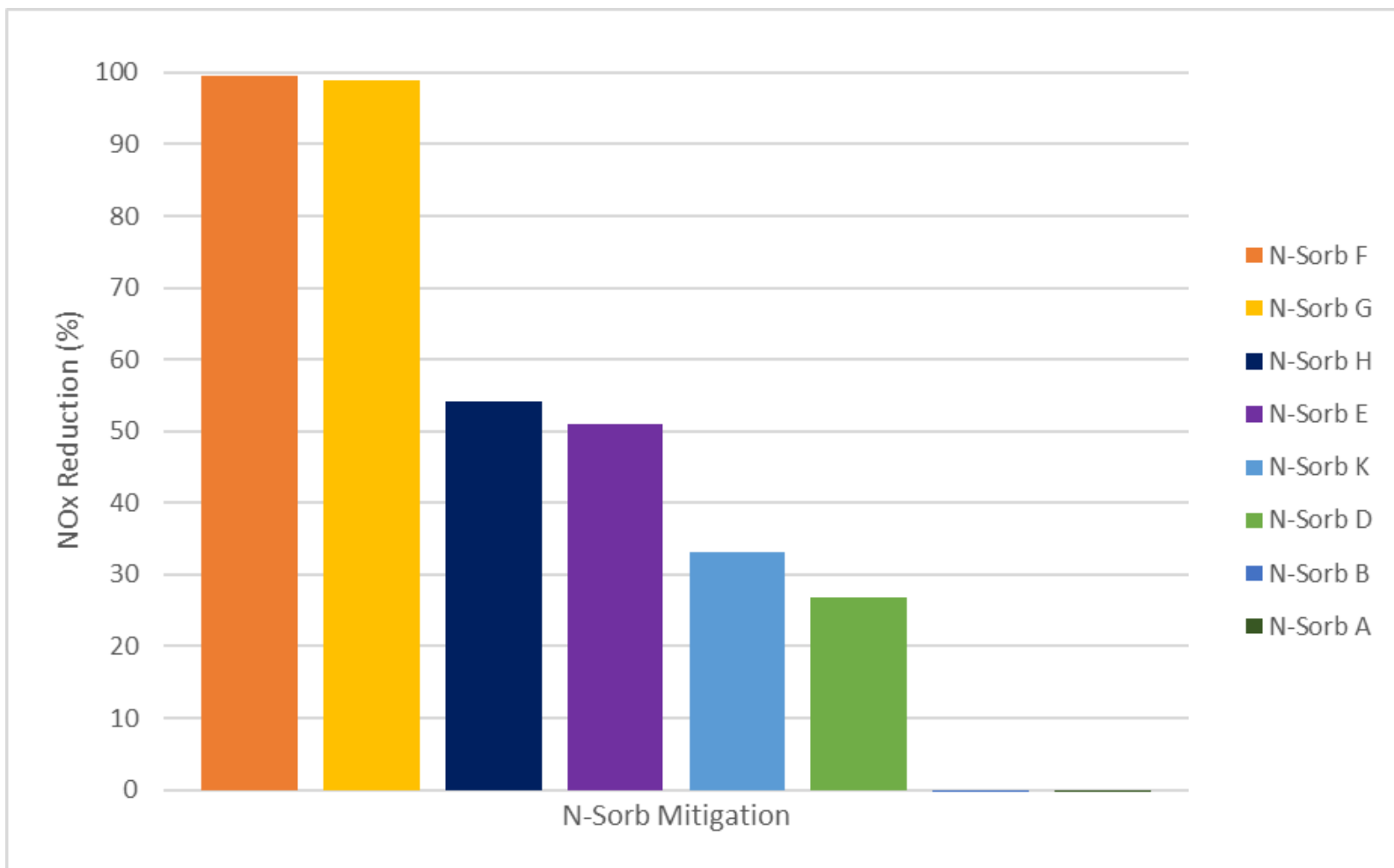


## EXPERIMENTAL METHOD:

- 1cm<sup>2</sup> CSP N-Sorb Film
- Film suspended above 2mL NO<sub>x</sub> producing solution in sealed glass vial
- Aged 7 days at 60°C
- Characterization by GC-MS Headspace with derivative nitrite analysis

**All N-Sorb films reduced NO<sub>x</sub> by ≥70% compared to controls.**

# NO<sub>x</sub> Mitigation – N-Sorb Blown Bottle POC Testing

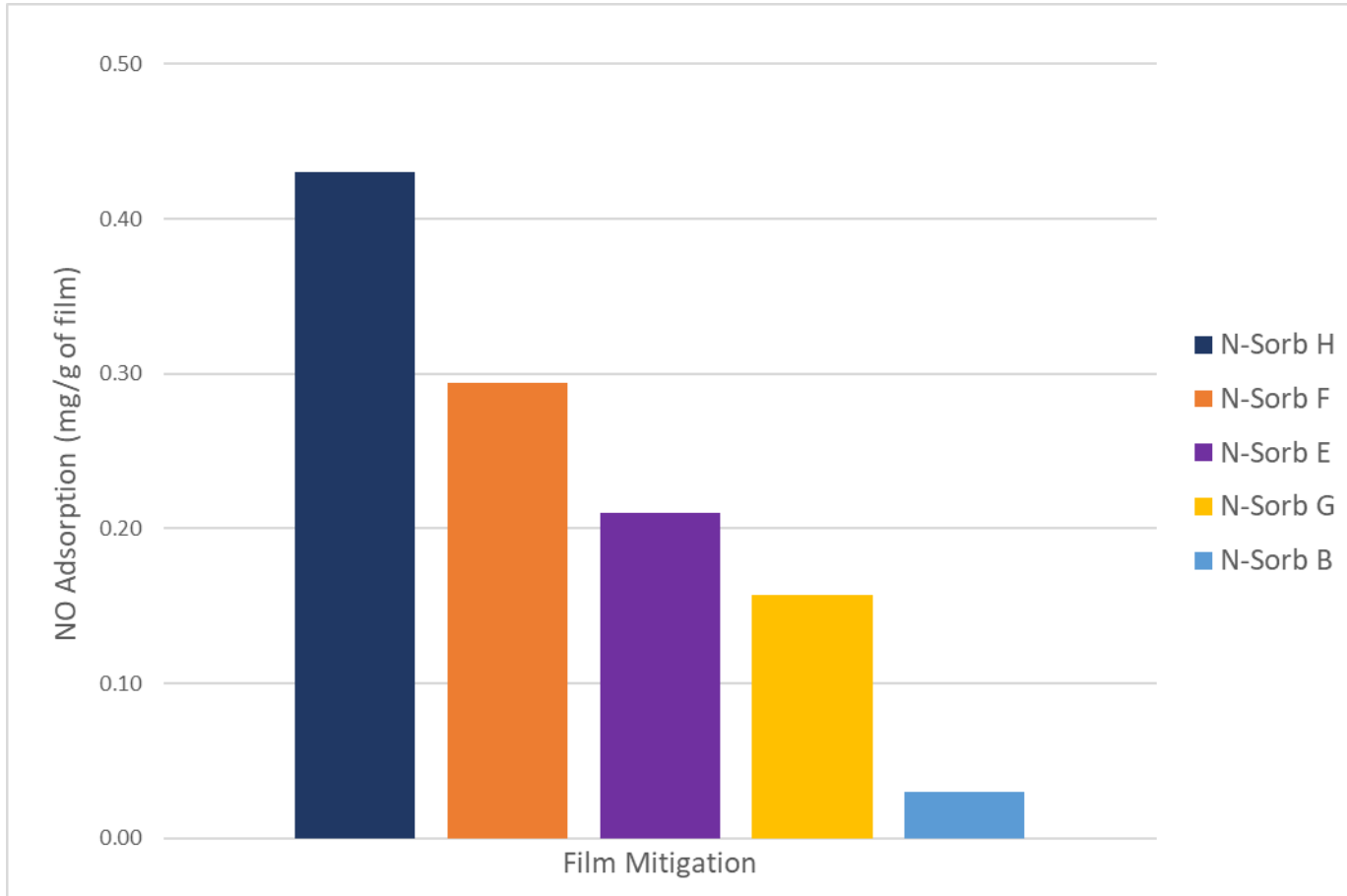


## EXPERIMENTAL METHOD:

- 150mL CSP N-Sorb blown bottles
- 8.5mL NO<sub>x</sub> producing solution in glass vial within the bottle
- Aged 7 days at room temperature
- Characterization by GC-MS Headspace with derivative nitrite analysis

**N-Sorb F and G reduced NO<sub>x</sub> by ≥99% compared to controls.**

# Experimental Scavenging of NO Gas Probe Molecule

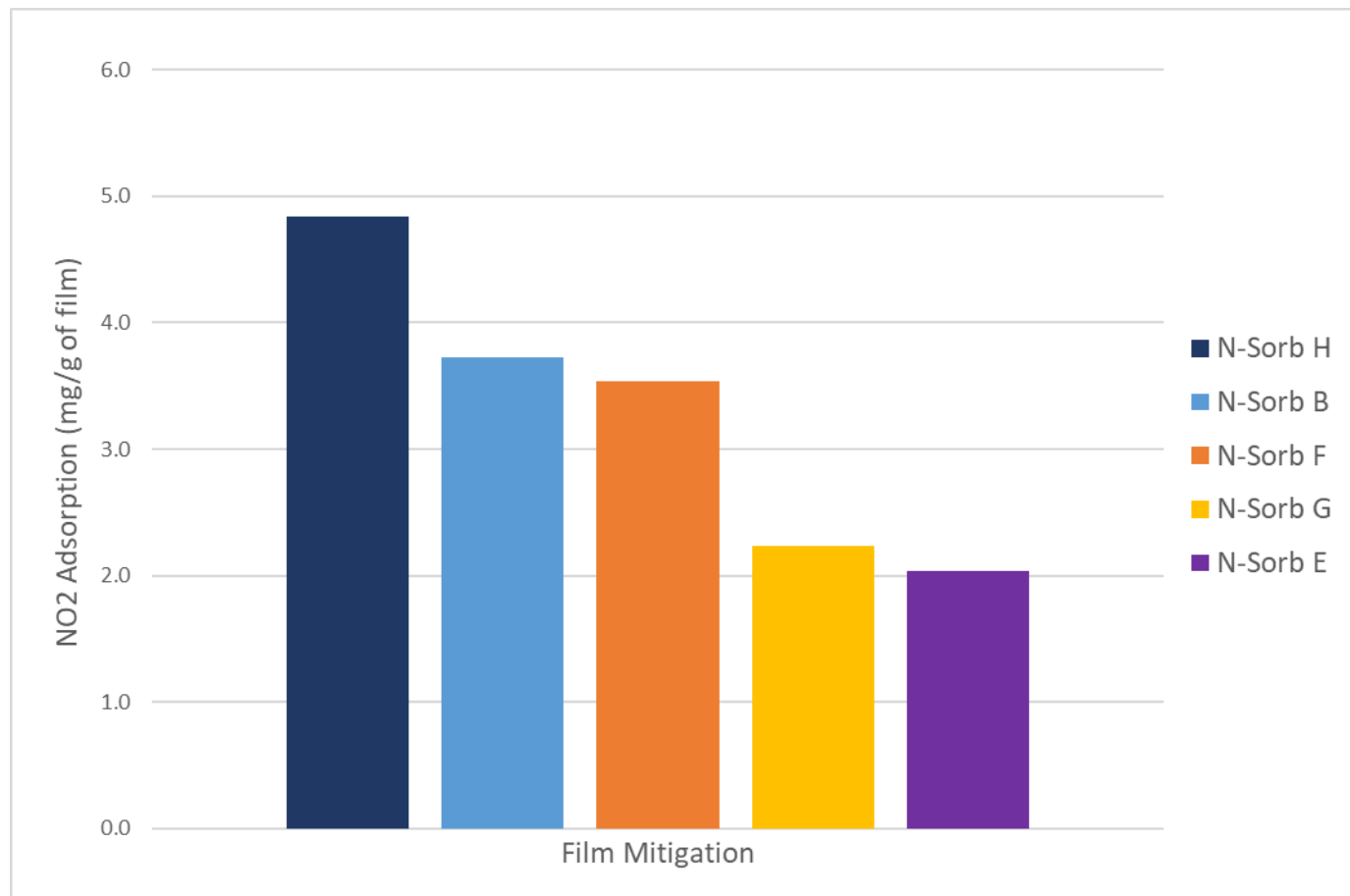


## EXPERIMENTAL METHOD:

- 250 mg of film
- **22°C / 0% RH** reactor bed
- ROSEMOUNT NGA 2000 detector
- 505 ppm of NO through the fixed bed column of the sample

**All N-Sorb films show significant absorption capacities.**

# Experimental Scavenging of NO<sub>2</sub> Gas Probe Molecule



## EXPERIMENTAL METHOD:

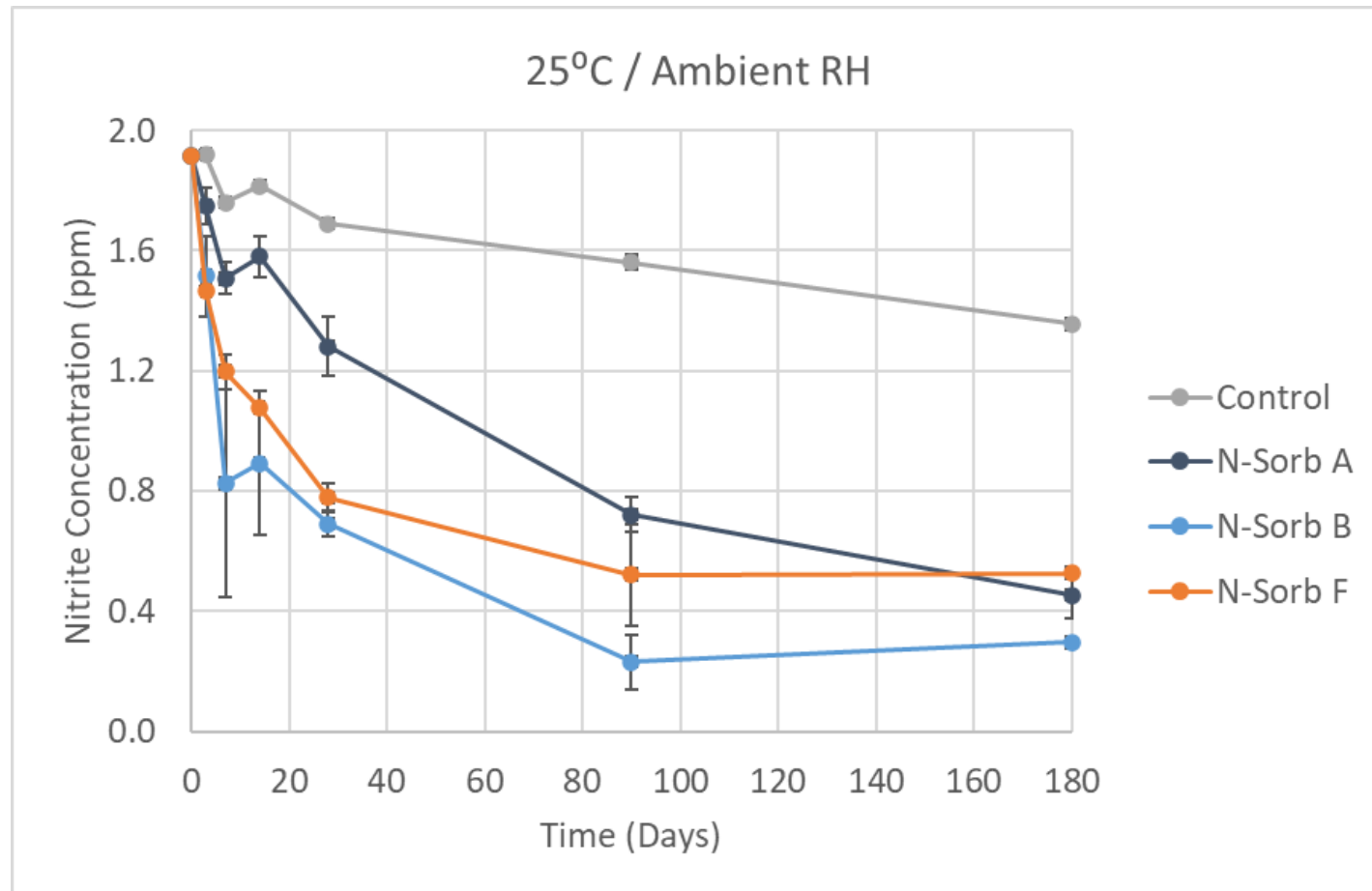
- 250 mg of film
- **22°C / 0% RH** reactor bed
- ROSEMOUNT NGA 2000 detector
- 505 ppm of NO<sub>2</sub> through the fixed bed column of the sample

**All N-Sorb films show significant absorption capacities.**

# Nitrite Data



# Nitrite Mitigation – Placebo Tablets

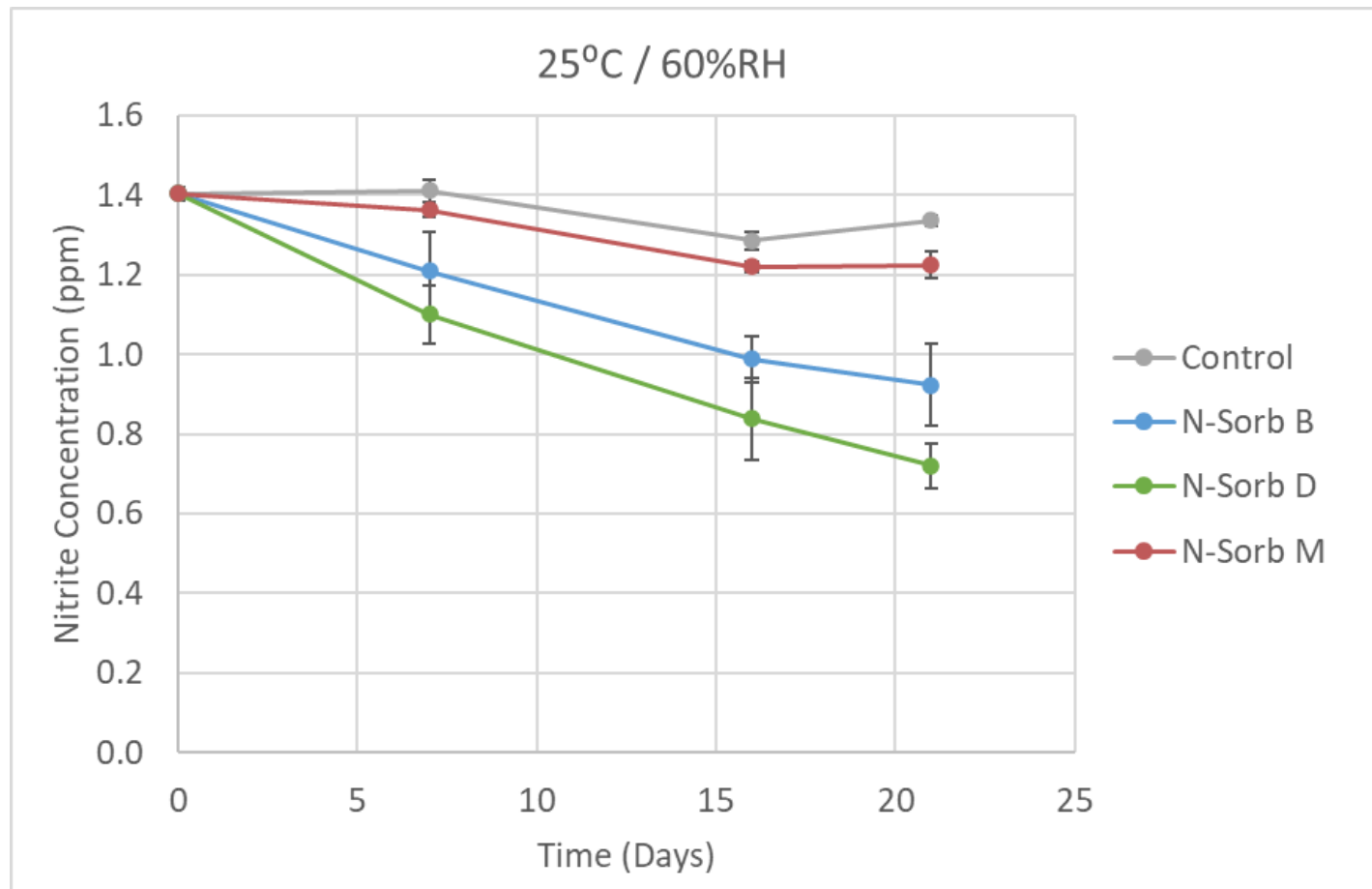


## EXPERIMENTAL METHOD:

- 2 in<sup>2</sup> CSP N-Sorb Film
- Film and 11 tablets (66% MCC, 33% Lactose, 1% Mg St.)
- Sealed in foil bag
- Aged 180 days at **25°C**
- Characterization by Greiss reaction on LC-UV/VIS (LOQ = 0.1 ppm)

**N-Sorb B reduced nitrite by >84% compared to controls.**

# Nitrite Mitigation – Bulk Excipient



## EXPERIMENTAL METHOD:

- 300 g MCC
- Stored in HDPE pail lined with 2 poly bags
- 87 in<sup>2</sup> film total per pail
- Aged 21 days at **25°C/60%RH**
- Characterization by Greiss reaction on LC-UV/VIS (LOQ = 0.1 ppm)

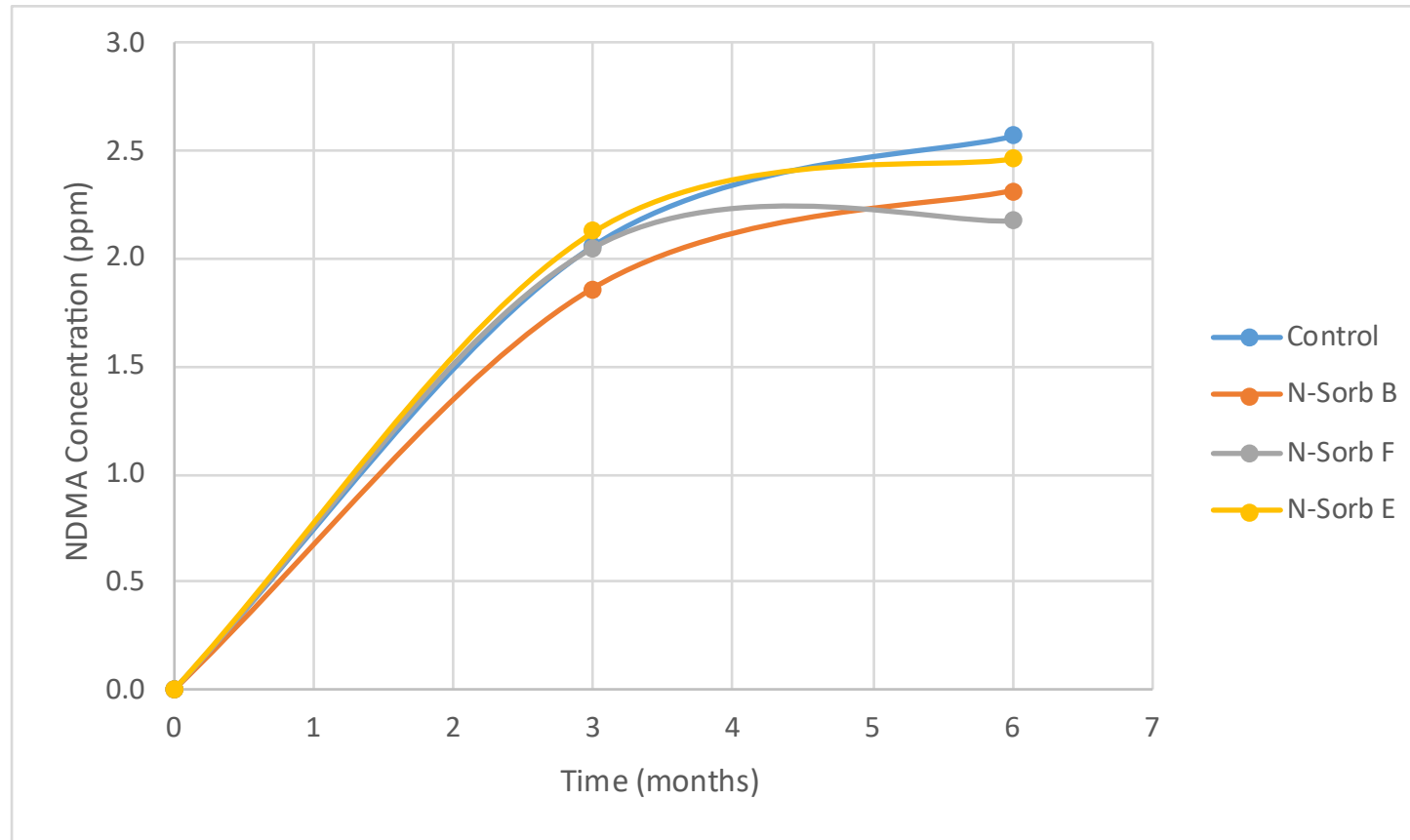
**N-Sorb D reduced nitrite by >49% compared to controls.**

# Nitrosamine Data



# NDMA Mitigation in Blister

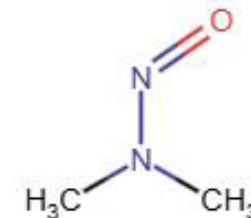
ICH 30°C / 65% RH – 6 months



## EXPERIMENTAL METHOD:

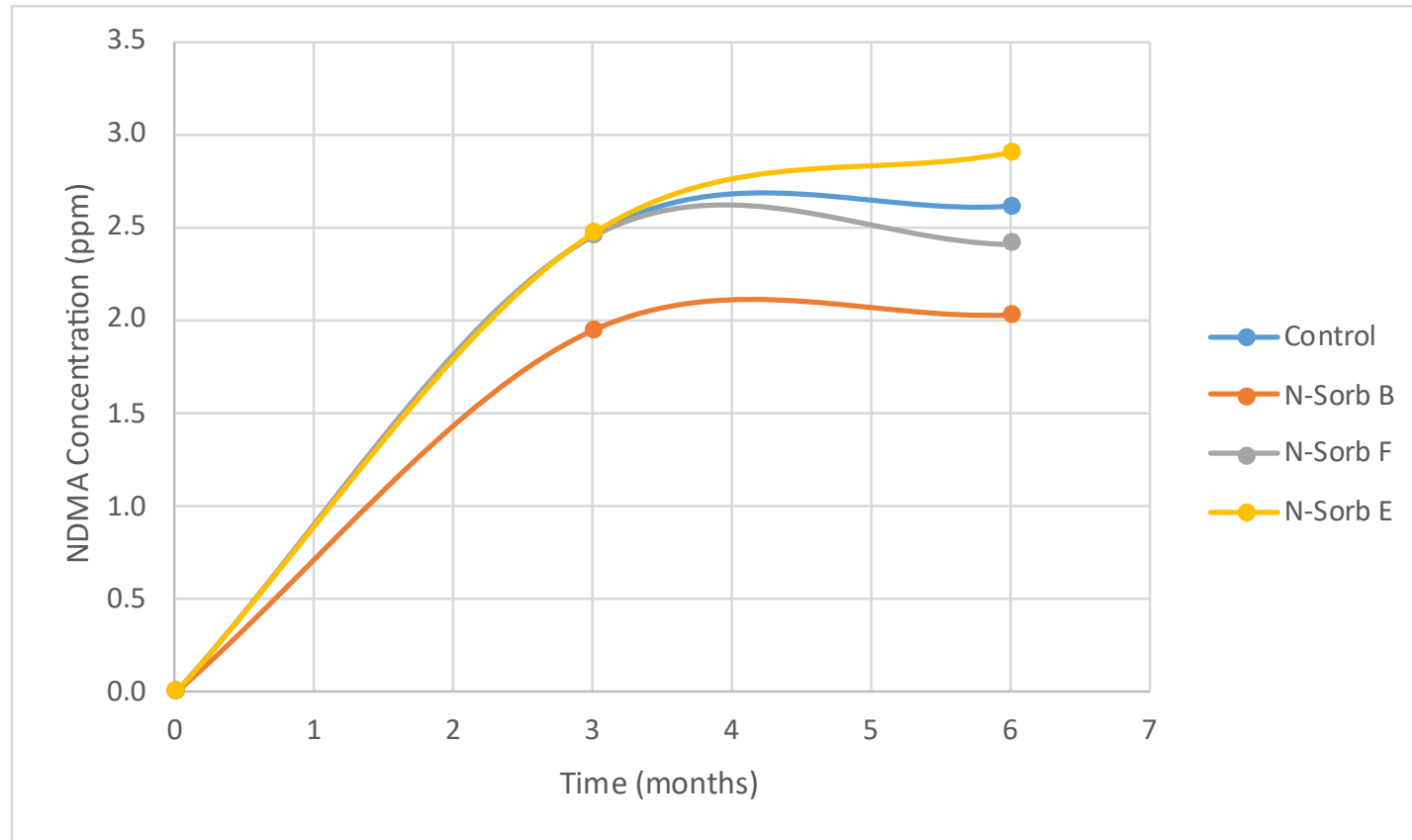
- 1 cm<sup>2</sup> of film CSP N-Sorb Film
- Film and ranitidine with added nitrite capsule in blister
- Aged 6 months at **30°C/65% RH**
- Characterization by direct method on LC-QTOF

**N-Sorb F reduced NDMA by 16% compared to controls.**



# NDMA Mitigation in Blister

ICH 40°C / 75% RH – 6 months



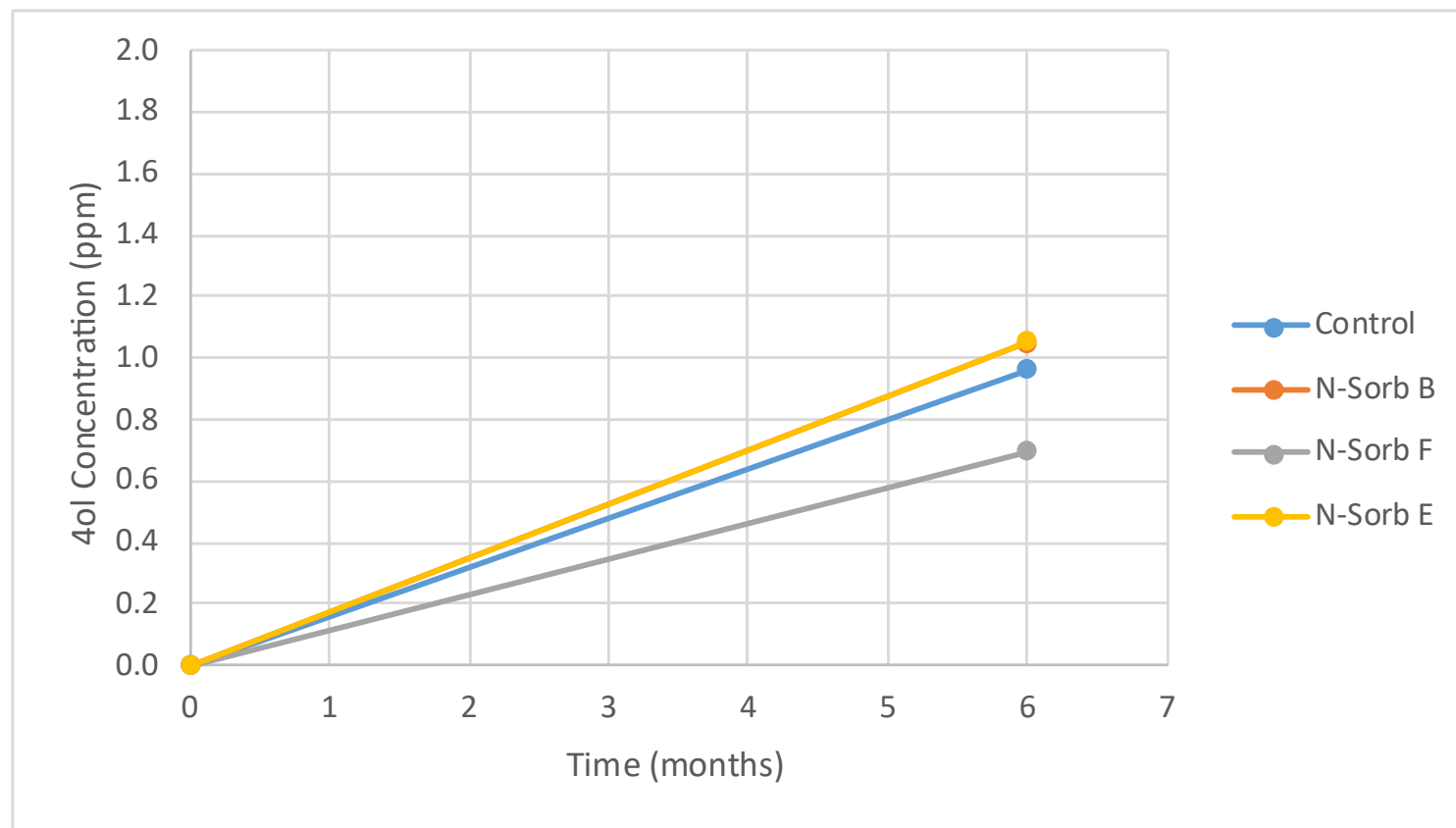
## EXPERIMENTAL METHOD:

- 1 cm<sup>2</sup> of film CSP N-Sorb Film
- Film and ranitidine with added nitrite capsule in blister
- Aged 6 months at **40°C/75% RH**
- Characterization by direct method on LC-QTOF

**N-Sorb B reduced NDMA by 22% compared to controls.**

# Model NDSRI Mitigation in Blister

ICH 30°C / 65% RH – 6 months

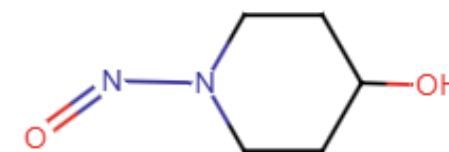


## EXPERIMENTAL METHOD:

- 1 cm<sup>2</sup> of film CSP N-Sorb Film
- Film and 4-phenylpiperidin-4-ol HCl with added nitrite capsule in blister
- Aged 6 months at **30°C/65%RH**
- Characterization by direct method on LC-QTOF

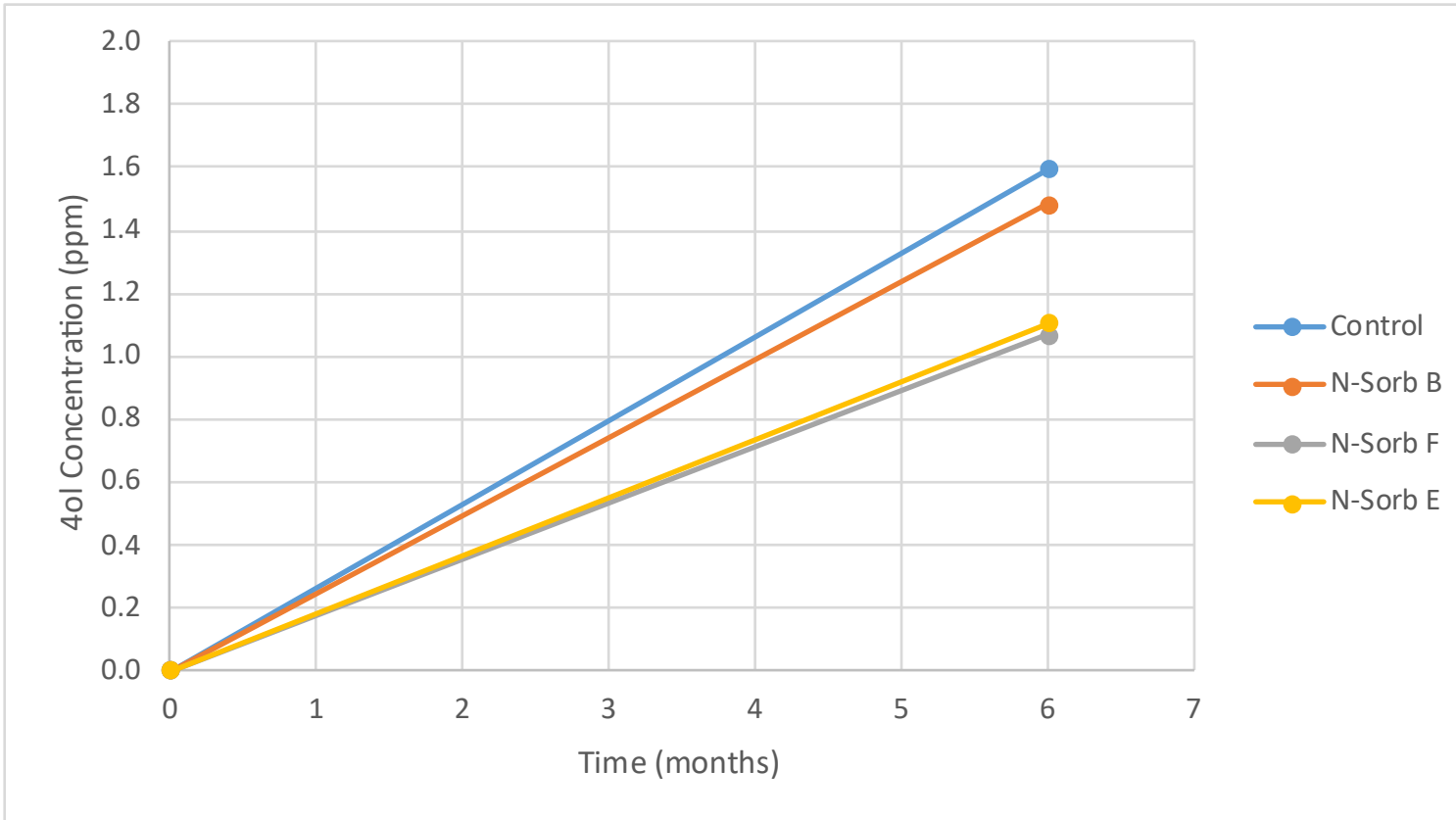
**N-Sorb F reduced 4ol by 27% compared to controls.**

1-Nitrosopiperidin-4-ol (4ol) is a high molecular weight nitrosamine



# Model NDSRI Mitigation in Blister

ICH 40°C / 75% RH – 6 months



## EXPERIMENTAL METHOD:

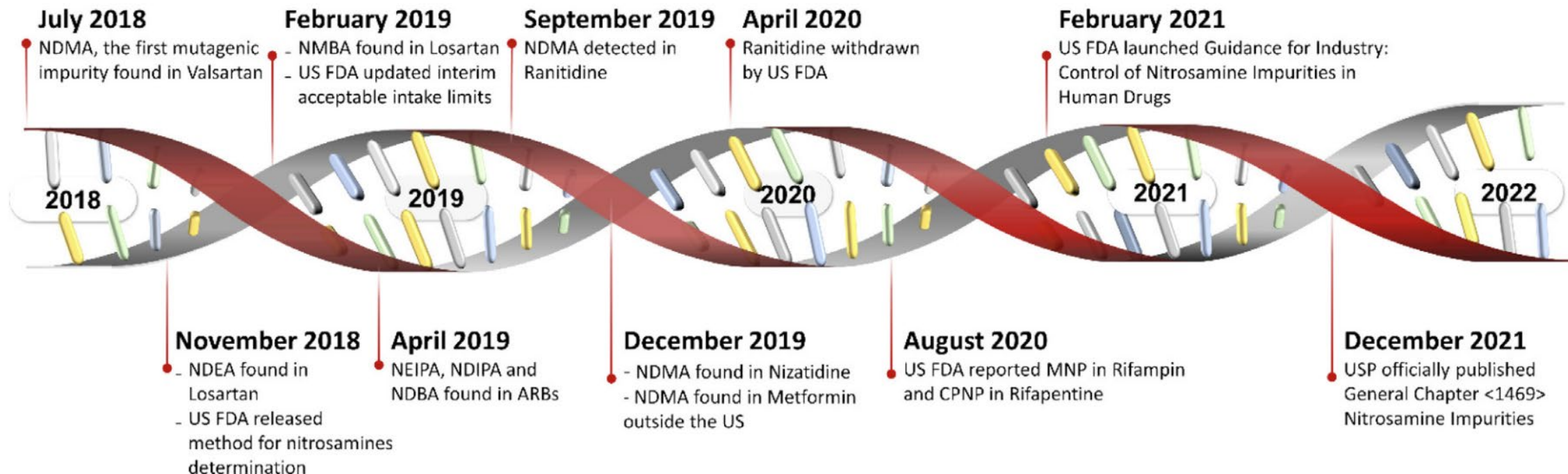
- 1 cm<sup>2</sup> of film CSP N-Sorb Film
- Film and 4-phenylpiperidin-4-ol HCl with added nitrite capsule in blister
- Aged 6 months at **40°C/75%RH**
- Characterization by direct method on LC-QTOF

**N-Sorb E and F reduced 4ol by 30% compared to controls.**

# Nitrosamine Regulatory Landscape



# Introduction



**What's the concern?** Nitrosamines are Impurities / contaminations - **probable human carcinogens**

## Evolution timeline of Regulatory Actions.

**2018:** EMA - Detected nitrosamine (**NDMA**) in **valsartan** → Product **recalls across EU**

**2018 – 2019:** FDA - Issued **recalls** of **valsartan, losartan, and ranitidine**

# Nitrosamine Control Regulatory History – Background

- Issuance of **Regulatory Guidance Documents**
  - **2020**: FDA & EMA Issued guidance for nitrosamine **risk assessment, testing, and control implementation** requirements
  - **2021**: WHO added nitrosamines to its **list of critical impurities**; issued **alerts on ranitidine and rifampin** – leading to **global alignment**.
  - **2023 - 2024**: FDA Issued a updated revision of its Nitrosamine Guidance Document (Revision 2) to **include nitrosamine drug substance-related impurities (NDSRIs) category limits** and **updated timelines**



# Nitrosamine Control Regulatory Landscape – Current Expectations

*What should sponsors do?*

## Marketed Products

Complete **risk assessments**  
& **confirmatory testing**;  
report exceedances **by**  
**Aug 1 2025**

## Products in Development

Integrate (or update with)  
**nitrosamine controls**  
**documentation** into Drug  
**Market Authorization**  
**Application** (MAA, IND, NDA,  
BLA, etc...) submissions

## Pending Applications

Submit **amendments or**  
**responses addressing NDSRIs**  
and mitigation strategies

# Review of Past Regulatory Enforcement Actions – 2018 to 2025

## Pre-Market

- Clinical Trial Hold (at least 3 major cases)
- Complete Response Letters (at least 3 major cases)
  - Refuse To Accept

## Reported Deficiencies

- Nitrosamine impurities **exceeding acceptable limits.**
  - additional testing including additional **stability data** and **repeat-dose studies on animals** especially for **reformulation.**
- Insufficient nitrosamine testing or risk assessments.
  - Risk assessments according to **ICH Q3D, M7(R2).**
- **CMC** (Chemistry, Manufacturing, and Controls) deficiencies.
  - Nitrosamines found **in production batches.**

# Review of Past Regulatory Enforcement Actions – 2018 to 2025

## Post-Market

- Form 483 Observations and Warning Letters (over 10 FDA 483, 7 WLs)
- Recalls and Market Withdrawals (over 40 recalls)
- Import Alert and Import Refusals

## Deficiencies

- Gap in **risk assessments, control strategies**, or **documentation** of mitigation efforts
- **Releasing** products **without demonstrating** that nitrosamine **levels** were within acceptable intake **(AI) limits**
- **Failure to** adequately **investigate the root causes** of unexpected nitrosamine findings

# FDA Emerging Technology Program & Guidance Update

# FDA Emerging Technology Program

- Promotes and facilitates adoption of innovative approaches to pharma product design and manufacturing.
- **CSP accepted 8/2024**
- **Ongoing meetings with FDA to help facilitate adoption of N-Sorb technology for nitrosamine mitigation**

## Recommended Implementation Timelines

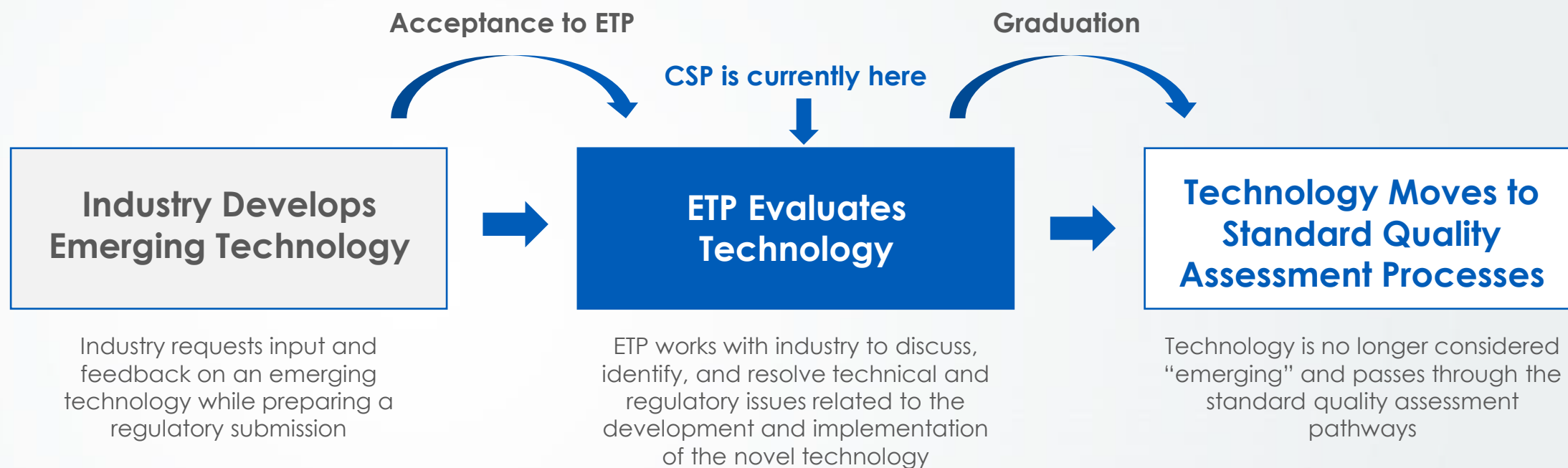
FDA recommends different implementation timelines depending on the regulatory status of the drug product and the type of nitrosamine impurity at issue. When recommending implementation timelines, FDA may consider factors such as the potential risk to the public health, the state of scientific knowledge, the scope of the problem, the feasibility and complexity of implementing effective prevention or mitigation strategies, and the risk of drug shortages. For example, to address a new nitrosamine impurity, the most effective mitigation may be reformulation, which could require substantial time to complete, and thus FDA may recommend a longer implementation timeline. A different nitrosamine impurity may be best addressed through replacement of packaging, for which FDA may recommend a shorter implementation timeline. If the need for the change is urgent due to a significant public health concern posed by the nitrosamine impurity, immediate implementation may be recommended. In some cases, FDA may also consider international harmonization in establishing implementation timelines.

**Table 4: Recommended Implementation Timelines**

(Updated: 9/4/2024)

| Nitrosamine Impurity        | Performing Risk Assessment | Confirmatory Testing      | Submission of Required Changes |
|-----------------------------|----------------------------|---------------------------|--------------------------------|
| Small Molecule Nitrosamines | March 31, 2021             | When a risk is identified | October 1, 2023                |
| NDSRIs                      | November 1, 2023           | When a risk is identified | August 1, 2025                 |

# FDA Emerging Technology Program Overview



CSP has held **2 successful meetings** with the Emerging Technology Team (ETT).

CSP will be holding a **third meeting** with the FDA in **July 2025**.

# ETP Meeting Outcomes – Key Takeaways – Ongoing Discussions

## **DMF Strategy** – Alignment on DMF Content

- No initial concerns with material of constructions (N-sorb formulations)
- Safety data – Compliance to USP 661 expected
- Testing Method Validation approach for product release (CoC/CoA)
- Production Validation strategy

## Presented R&D **Performance Data** generated so far

- Recommendations on study designs taking in considerations different factors temperature, humidity, tablet forms, formulations, etc...
- Results prove the potential to reduce Nitrite, NOx, and Nitrosamines – Product specific data to be generated to confirm for each application.

## **Final Drug Product** Stability Study according to ICH expectations

- Post-approval Change Supplement – PAS (now) → CBE 30 (future)

# Reformulation Vs Packaging Mitigation – Regulatory Considerations

| Regulatory Consideration                  | Reformulation                                           | Packaging Update with N-Sorb                                |
|-------------------------------------------|---------------------------------------------------------|-------------------------------------------------------------|
| <b>FDA Submission Type</b>                | PAS (Prior Approval Supplement) or 505(b)(2)            | PAS or potentially CBE-30 after experience gained           |
| <b>Regulatory Review Timeline</b>         | ~10-12 months                                           | ~ 4-6 months (case dependent)                               |
| <b>Risk of Impact on Bioequivalence</b>   | High - formulation changes can affect PK profile        | Low - packaging change does not affect API PK               |
| <b>Stability Study Requirements</b>       | Full real-time & accelerated stability studies required | 3-month accelerated + supporting long-term data may suffice |
| <b>Need for Clinical Bridging Studies</b> | Likely required for many drugs                          | Not typically required                                      |
| <b>Impact on Product Labeling</b>         | Label updates typically required                        | Minimal or no change                                        |
| <b>Manufacturing Process Changes</b>      | Significant - may involve new process validation        | Moderate - packaging component qualification required       |
| <b>Time to Market Impact</b>              | Longer timeline - often >1 year                         | Shorter timeline - may be <6 months                         |
| <b>Regulatory Burden</b>                  | High                                                    | Moderate to Low                                             |

# Acknowledgments



**Ivy Comer**

Aptar CSP Technologies



**Jason Pratt**

Aptar CSP Technologies

# Questions & Answers



# Thank You for Your Attention!

 [cspinfo@aptar.com](mailto:cspinfo@aptar.com)  
 [csptechnologies.com](http://csptechnologies.com)